
Two Approaches to Mayan Grammar Development in CCG

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8.1 Introduction

Computational grammar development often tends towards the development of lexemic grammars, i.e. grammars which posit little or no word-internal grammatical structure, or assume such morphological structure is handled by a system other than syntax proper. However, certain morphological processes do have significant syntactic consequences. Verb-internal incorporated pronouns in Mayan languages and others constitute one such phenomenon: as incorporated pronouns saturate one grammatical argument and semantic role, they are basically morphological affixes which alter a verb's sub-categorization. This is a particularly acute issue for Categorical Grammar, as syntactic categories—or algebraic characterizations of words' and phrases' sub-categorization—occupy a central role in the theory. As such, incorporated pronouns constitute an appropriate case study in thinking about morphology in computational grammar development.

This paper makes two contributions. First, it presents a CCG for a fragment of the Mayan language Popti' that has been engineered using OpenCCG (Bozsahin et al., 2006). Popti' (Craig, 1977) is a configurational VSO language with an ergative/absolutive nominal system articulated by agreement markers and incorporated pronouns on the verb forms. Relative clause formation and focus constructions are both left-branching phenomena, and there are constraints on what constituents may be raised out of either construction. These constraints are closely related to the CCG slash-modalities introduced by Baldrige (2002) and presented in §8.1.1.

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Secondly, it presents an alternate, morphemic presentation of the grammar fragment that analyzes incorporated pronouns and ergative markers directly as syntactic constituents. This simplifies the categorial presentation of verbs in Popti' considerable, and simplifies and generalizes the semantics of the entities under consideration.

8.1.1 CCG

(Multi-modal) Combinatory Categorical Grammar (CCG) (Baldrige, 2002, Baldrige and Kruijff, 2003) is a mathematically constrained radically lexicalist grammatical formalism. In CCG, lexical items are assigned one or more categorial types which are formed from basic categories s, np, \dots closed under the directional slash operators $\{/, \backslash, \dots\}$. Slashes, in turn, are decorated by modalities $\{*, \diamond, \times, \cdot\}$ which dictate the applicability of the rules in the system to the category formed by that slash.

Syntactic analyses are given in the form of derivation trees, such as:

$$(8.1) \quad \frac{\frac{John}{np} \quad \frac{\frac{kisses}{(s \backslash np) / np} \quad \frac{Mary}{np}}{s \backslash np}}{s} <$$

First of all *John* and *Mary* have the category np , i.e. they are noun phrases. *kisses* has the category $(s \backslash np) / np$, which means that it is basically looking for a constituent with category np on its right to form a constituent of category $s \backslash np$, the equivalent of an intransitive verb or verb phrase. (There is no distinction between lexical and phrasal categories in Categorical Grammars.) This occurs with an instance of an Application rule, denoted $>$ in the derivation. The category $s \backslash np$, likewise, is looking for an np on the left to form a full sentence, i.e. a constituent with category s . This occurs with an instance of the corresponding Application rule $<$. The application rule schemata, then, are:

$$\begin{aligned} A /_* B B &\Rightarrow > A \\ B A \backslash_* B &\Rightarrow < A \end{aligned}$$

These rules by themselves would account for very little significant linguistic phenomena. The other rule schemata for CCG (at least, relevant to the current analysis) are Composition rules (B) and the Crossed Composition rules ($B \times$):

$$\begin{aligned} A /_\diamond B B /_\diamond C &\Rightarrow >_B A /_\diamond C \\ B \backslash_\diamond C A \backslash_\diamond B &\Rightarrow <_B A \backslash_\diamond C \\ A /_\times B B \backslash_\times C &\Rightarrow >_{B \times} A \backslash_\times C \\ B /_\times C A \backslash_\times B &\Rightarrow <_{B \times} A /_\times C \end{aligned}$$

See Steedman (2000) for extensive discussion.

Note that the slashes in the rule schemata above are decorated with the modalities $*$, \diamond and \times . These control which rules may apply to what classes

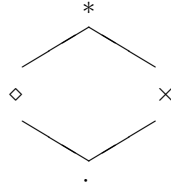


FIGURE 1 CCG Modality Hierarchy

of categories. The relationship between the modalities is hierarchical, as illustrated in Figure 1. Basically, categories whose slash is decorated with * may only enter instances of Application, those decorated with ◊ may enter into instances of Harmonic Composition or Application, those decorated with × may enter into instances of Crossed Composition or Application, and those decorated with · may enter into instances of any of the rules above. As a methodological priority, the fewest number of categories possible are assigned to a given lexical item. Also, for simplicity, the English example above (8.1) was not presented with slash-modalities. In general, the default modality is ◊, i.e. the default assumption is that the Application and Harmonic Composition rules are applicable to most categories.

8.1.2 Grammar Engineering with CCG

The Popti' grammar fragment discussed in this article was implemented in OpenCCG. The grammar was developed using the CCG grammar specification language developed by Ben Wing¹. The fragment is relatively small: 21 CCG categories were specified for 41 lexical items, plus 6 ergative morphemes, described below. The test bed comprises of 23 clauses, many of which are discussed below. The data and examples are taken from a linguistic grammar of Popti' (Craig, 1977).

8.2 Lexemic Analysis

This section provides a lexemic presentation of a grammar of a fragment of Popti'. In particular, it posits a unified syntactic account of relative clause formation and agent-focus constructions based on derivational control via slash-modalities.

¹Cf. <http://comp.ling.utexas.edu/ccgui/>

8.2.1 Basic Word Order

Popti' features a rich set of determiner-like NP classifiers. Some examples are:

(8.2) *naj winaj*
 cl man
 the man

(8.3) *ix malin*
 cl Mary
 Mary

(8.4) *naj pel*
 cl Peter
 Peter

The generic term *cl* is used to gloss classifiers in what follows. Classifiers serve both as pronouns (of type *np*) and as determiners. A possible analysis of the determiner role could be given by a determiner category like *np/n*, that is a category which provides a noun phrase given a common noun (with category *n*). However as Popti' nouns are predicative (8.5), we opt instead for *s/np* for nouns and *np/(s/np)* for classifiers. This analysis of predicative nominals follows Baldrige (2002)'s of nominals in Tagalog.

(8.5) *winaj hach*
 man you
 You are a man

$$\frac{\frac{\textit{winaj}}{\textit{s/np}} \quad \frac{\textit{hach}}{\textit{np}}}{\textit{s}} >$$

(8.6) *x'apni naj winaj*
 arrived cl man
 The man arrived

$$\frac{\frac{\textit{x'apni}}{\textit{s/np}} \quad \frac{\frac{\textit{naj}}{\textit{np/(s/np)}} \quad \frac{\textit{winaj}}{\textit{s/np}}}{\textit{np}}}{\textit{s}} >$$

Also, we put forward the straightforward right adjunct category *s\s* for the adverbial *ewi*, 'yesterday':

(8.7) *x'apni naj winaj ewi*
 arrived cl man yesterday
 The man arrived yesterday

$$\frac{\frac{\textit{x'apni}}{\textit{s/np}} \quad \frac{\frac{\textit{naj}}{\textit{np/(s/np)}} \quad \frac{\textit{winaj}}{\textit{s/np}}}{\textit{np}} \quad \frac{\textit{ewi}}{\textit{s\s}}}{\textit{s}} >$$

Relative clauses are formed by appending the clause to the right of the relativized noun directly without a relativizer per se:

(8.8) *naj winaj x'apni*
 cl man arrived
 the man who arrived

(8.9) *naj winaj x'apni ewi*
 cl man arrived yesterday
 the man who arrived yesterday

These contrast with (8.6) and (8.7), from which we conjectured that *x'apni* has the type *s/np*. To accommodate both this and (8.8) we posit a type-changing rule of the form

(TC) $s/np \Rightarrow (s/np) \backslash (s/np)$

That is, a rule that changes a predicate into a noun-adjunct². This rule is illustrated here with *naj winaj x'apni* ‘The man who arrived’:

$$\frac{\frac{naj}{np} \quad \frac{\frac{winaj}{(s/np)} \quad \frac{\frac{x'apni}{s/np}}{(s/np) \backslash (s/np)}}{s/np}}{np} >$$

However, the simple application rules $>$, $<$ will not allow the construction in (8.9) to go through, since there is no derivation for *x'apni ewi* as we have it so far (***) indicates that a derivation cannot go through):

$$\frac{\frac{x'apni}{s/np} \quad \frac{ewi}{s \backslash s}}{***}$$

The construction will go through, however, if we allow the Backwards Crossed Composition rules B_{\times} to apply, which would suggest that *x'apni* is minimally $s/\times np$ and *ewi* minimally $s \backslash \times s$. Then, the following is a derivation for (8.9):

$$\frac{\frac{naj}{np/(s/np)} \quad \frac{\frac{winaj}{(s/np)} \quad \frac{\frac{\frac{x'apni}{s/\times np} \quad \frac{ewi}{s \backslash \times s}}{s/\times np}}{(s/np) \backslash (s/np)}}{s/np}}{np} < B_{\times}$$

²There is more than one way to do it: other analyses can handle this without the type-changing rule, but that does not alter the central argument here.

This provides an elegant distinction between predicative nominals (*winaj*) and intransitive verbs (*x'apni*):

- (8.10) **Nominals** $s/\diamond np$
Intransitive Verbs $s/\times np$

One consequence of this analysis is that the adverb *ewi* can shift to the left of the subject in finite clauses, as in

- (8.11) *x'apni ewi naj winaj*
 arrived yesterday cl/the man

The man arrived yesterday

$$\frac{\frac{x'apni}{s/\times np} \quad \frac{ewi}{s \setminus \times s}}{s/\times np} < B_{\times} \quad \frac{naj winaj}{np}$$

s

Indeed, this prediction seems to be correct. (Nora England, p.c.).

8.2.2 The focus operator *ha'*

The focus operator *ha'* extracts either the object of transitive verbs or the subject of intransitive verbs (i.e. the absolutive constituents) from the VSO verbal nucleus. The categorial type for *ha'* is given by $(s/(s/\times np))/np$, as in

- (8.12) *ha' naj smak ix*
 focus him hit she

It's him who she hit

$$\frac{\frac{ha'}{(s/(s/\times np_1))/np_1} \quad \frac{naj}{np_1} \quad \frac{smak}{(s/\times np_1)/np_2} \quad \frac{ix}{np_2}}{s/(s/\times np_1)} \quad s/\times np_1$$

s

It follows immediately from the categorial analysis of fronting, here, that only the subject of intransitives or the object of transitives may be focused, as indicated in the derivation for (8.12). In (8.12) np_1 must be the object and np_2 the subject of the clause. Also, the particular type provided for *ha'* prevents multiple instances of focus-extraction.

Popti' has a means to focus the subject of transitives, however. (8.13) highlights the anti-passive *-ni* form of *smak* from above. That is, there is a morphologically realized lexical rule that transforms *smak* to *xmakni* and that, in this analysis, basically switches the arguments of the verb. So:

- (8.13) *ha' naj xmakni ix*
 focus cl/he hit cl/her

It's he who hit her

$$\frac{\frac{ha'}{(s/(s/\times np_1))/np_1} \quad \frac{naj}{np_1} \quad \frac{xmakni}{(s/\times np_1)/np_2} \quad \frac{ix}{np_2}}{s/(s/\times np_1) \quad s/\times np_1} \quad s$$

This points to a strong generalization about Popti' verb categories. In general, intransitive and transitive verb categories share a core component of the form $s/\times np$:

(8.14) **Intransitive Verbs** $s/\times np_1$
Transitive Verbs $(s/\times np_1)/\diamond np_2$

Note that, given that Popti' is an ergative/absolutive language, np_1 must always correspond to the the absolutive argument.

8.2.3 Complements and quotations

Verbs of reporting, such as *xal* ("said") take a complement clause object, as in

(8.15) *xal naj jet-an tato x'apni ya' cumi*
 said he to-us that arrived cl/the lady

He said to us that the lady arrived

This is handled straightforwardly with a new atomic category *cp*:

$$\frac{\frac{xal}{(s/\times cp)/\diamond np} \quad \frac{naj}{np}}{s/\times cp} > \frac{jet-an}{s\backslash\times s} < B_{\times} \frac{tato}{cp/\diamond s} \quad \frac{x'apni ya' cumi}{s} >$$

$$\frac{s/\times cp}{s} < \frac{cp}{s} >$$

Under certain conditions, reporting verbs such as *xal* undergo a morphologically realized transformation into a quoting term, *yalni*, that accompanies quotative inversion. That is,

$$xal (s/\times cp)/\diamond np \rightsquigarrow yalni (s\backslash\times s)/\diamond np$$

For example:

(8.16) *x'apni ya' cumi yalni naj jet-an*
 arrived cl/the lady said he to-us

He said the lady arrived

$$\frac{x'apni ya' cumi}{s} \quad \frac{\frac{yalni}{(s\backslash\times s)/\diamond np} \quad \frac{naj}{np}}{s\backslash\times s} > \frac{jet-an}{s\backslash\times s} <$$

$$\frac{s}{s} <$$

8.2.4 *mac* and long-distance extraction

The Wh pronoun *mac* (“who”) occurs to the left of the verb and induces a question, roughly like English:

- (8.17) *mac xul ewi*
 who arrived yesterday
 Who arrived yesterday?

$$\frac{\frac{\frac{mac}{s/\diamond(s/.np)}}{s} \quad \frac{\frac{xul}{s/\times np}}{s}}{s} > \frac{\frac{ewi}{s\backslash\times s}}{s}$$

mac can enter into (somewhat) long-distance dependencies as in:

- (8.18) *mac xawa' ha melyu tet*
 who you-gave your money to
 Who did you give your money to

$$\frac{\frac{\frac{mac}{s/\diamond(s/.np)}}{s} \quad \frac{\frac{\frac{\frac{xawa'}{(s/\diamond pp)/\times np}}{s/\diamond pp} \quad \frac{\frac{\frac{ha}{np/\diamond(s/.np)}}{np}}{s/\diamond np}}{s/\diamond np}}{s/\diamond np}}{s} > \frac{\frac{\frac{\frac{melyu}{s/\diamond np}}{pp/\diamond np}}{s/\diamond np}}{s} > \frac{\frac{tet}{pp/\diamond np}}{s} > B$$

However, combining *mac* with the reporting verb *xal* from §8.2.3 does not go through straightforwardly. Crucially, harmonic composition is blocked by the \times modality on the verbal absolutive nucleus $s/\times np$. Consider this attempt for “Who did Peter say hit Mary?”:

- (8.19) **mac xal naj pel chubil xmakni ix malin*
 who said cl Peter that hit cl Mary
 (attempted:) Who did Peter say hit Mary?

$$\frac{\frac{\frac{chubil}{cp/\diamond s} \quad \frac{\frac{\frac{xmakni}{(s/\times np)/\diamond np}}{s/\times np} \quad \frac{\frac{ix malin}{np}}{np}}{s/\times np}}{s/\times np}}{s/\times np} > ***$$

(The *** indicates that there is no rule to carry the derivation forward.) In Craig (1977)’s treatment, (8.19) is marked with a (?). This may be because, since *xmakni* is a subject-inverted pseudo-passive, some speakers may treat it without the \times modal verbal nucleus. Nevertheless, using the quotative construction produces a clean reading:

- (8.20) *mac xmakni ix malin yalni naj pel*
 who hit cl Mary say cl Peter

Who did Peter say hit Mary?

$$\begin{array}{c}
 \frac{\frac{\frac{mac}{s/\diamond(s/.np)}}{s/\diamond(s/.np)}}{s} \quad \frac{\frac{\frac{\frac{xmakni}{(s/\times np)/\diamond np}}{s/\times np}}{s/\times np}}{s} \quad \frac{\frac{\frac{ix malin}{np}}{s/\times np}}{s/\times np} > \quad \frac{\frac{\frac{\frac{yalni}{(s\backslash\times s)/\diamond np}}{s\backslash\times s}}{s\backslash\times s}}{s\backslash\times s} \quad \frac{\frac{\frac{naj pel}{np}}{np}}{s\backslash\times s} > \\
 < B_{\times} >
 \end{array}$$

In fact, the grammar implementation gets both readings, as in

- “Who did Peter say hit Mary?”
- “Peter said ‘Who hit Mary?’”

8.2.5 Summary

Summing up the analysis so far, we have to following general category assignments:

	Nominals	<i>winnaj</i>	$s/\diamond np$
	Classifiers	<i>ix, naj</i>	$np/\diamond(s/\diamond np)$
	Intrans. Verbs	<i>x'apni</i>	$s/\times np$
	Transitive Vbs	<i>smak</i>	$(s/\times np)/\diamond np$
(8.21)	Temporal Adv.	<i>ewi</i>	$s\backslash\times s$
	Focus	<i>ha'</i>	$(s/\diamond(s/\times np_1))/\diamond np_1$
	Complement Clause	<i>tato</i>	$cp/\diamond s$
	Reporting Verbs	<i>xal</i>	$(s/\times cp)/\diamond np$
	Quotative	<i>yalni</i>	$(s\backslash\times s)/\diamond np$

8.3 Issues with the Lexemic Analysis

A lexemic approach such as is posited in §8.2 poses several methodological issues. In practice, for a language with significant morphology, the grammar writer must generally specify a larger number of lexical items, and with reduplicated effort comes the increased likelihood of error.

Incorporated pronouns in languages such as Popti' provide an acute test case of this. Popti' has two sets of incorporated pronouns, for each the absolutive and ergative verbal arguments. To accommodate this, the lexemic grammar written for the fragment of Popti' alluded to above must specify the redundant Transitive Verb category: ones highlighted in (8.21):

(8.22) • SubjectEmbeddedTransitiveVerb $s/\times np$

Moreover, aspectual markers and the subject-focus markers were embedded in the lexical items, leading to lexical entries akin to

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hit: TransitiveVerb { smak; }
hit-subject-focus: TransitiveVerb { xmakni; }
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In fact, morphologically *smak* is a fairly complex form:

(8.23) *x- s- mak*
 asp erg hit

where asp is completive aspect and erg is the 3rd person ergative marker. A more general morphemic system that can also handle word-internal structure is proposed in the following section.

8.4 Morphemic Approach

A morphemic approach (Bozsahin, 2002) differs significantly from a lexemic approach in that it posits categorial types for word-internal morphemes and combinatory processes for word-formation akin to the syntactic processes themselves. Here, the analysis does not in fact distinguish between morphological and syntactic categories and processes.

To enable this in part, the analysis below makes use of *inert slashes* $/^{\!|}$, $\backslash^{\!|}$. A category specified with an inert slash, say, $s/^{\!|}np$, may not combine its argument (here, np) as usual, may be regarded as an atomic category decorated with structural and semantic information.

The morphemes under consideration, then, are:

Aspect Aspect markers combine with a non-finite, inert VP to the right to produce a finite, aspectually specified VP. Here is the category for the completive aspect marker:

• $x-: (s/\times np_1)/\diamond(s/^{\!|}\times np_1)$

Ergative (incorporated) pronouns Incorporated pronouns consume the outer (first) argument of a transitive verb. Leaving out the details, their category is $(s/^{\!|}\times n p) / * ((s/^{\!|}\times np) / \diamond np)$. That is, they take a non-finite transitive verb to the immediate right and render an intransitive verb. Specifically:

• *hin-* ‘I’, *ha-* ‘you’: $(s/^{\!|}\times np) / * ((s/^{\!|}\times np) / \diamond np)$

Transitive Verbs Transitive verbs, without aspect, agreement or embedded pronouns are simply assigned a category identical to that specified before, only now with inert slashes:

• *il* ‘to see’, *mac* ‘to hit’: $(s/^{\!|}\times np) / \diamond np$

The aspect and incorporated pronouns enable derivations of sentences that exhibit the shifting arity of verbs at work. In the following is example, the transitive verb *il* ‘to see’, is rendered as a pseudo-intransitive *x-hin-il*, ‘I saw ...’:

(8.24) *x- hin- il naj winaj*
 asp pro see cl man
 I saw the man

$$\frac{\frac{x-}{(s/\times np)/\diamond(s/\times np)} \quad \frac{\frac{hin-}{(s/\times np)/\diamond((s/\times np)/\times np)} \quad \frac{il}{(s/\times np)/\diamond np}}{s/\times np}}{s/\times np} > \quad \frac{naj \ winaj}{np} >$$

Ergative agreement Ergative agreement takes a transitive verb to the immediate right and basically “turns-on” the verb’s ergative argument:

$$\bullet s-: ((s/\times np1)/\diamond np2) / * ((s/\times np1)/\diamond np2)$$

This category also checks that the first NP in its declarative sentence is of ergative voice.

By contrast with incorporated pronouns, the 3rd person ergative marker does not change the arity of a transitive verb, as in:

(8.25) *x- s- mak ix malin naj pel*
 asp erg hit cl Mary cl Peter
 Mary hit Peter

As in this and example (8.2.2) above, the desired category for *x-s-mak* is $(s/\times np)/\diamond np$. This is given by:

$$\frac{\frac{x-}{(s/\times np)/\diamond(s/\times np)} \quad \frac{\frac{s-}{((s/\times np)/\diamond np)/ * ((s/\times np)/\diamond np)} \quad \frac{mak}{((s/\times np)/\diamond np)}}{(s/\times np)/\diamond np}}{(s/\times np)/\diamond np} >B$$

Subject focus The anti-passive or subject-focus morpheme *-ni* takes a transitive verb to the immediate left and, in effect, switches the order of the arguments, so as to make the absolutive argument to the verb accessible to the focus operator *ha'*, as sketched in §8.2.2:

$$\bullet -ni: ((s/\times np^2)/\diamond np^1) / * ((s/\times np^1)/\diamond np^2)$$

Note: np^1 is shorthand for $[np \langle 1 \rangle]$.

With this suffix we can now specify a full analysis of an instance of subject focus with the focus operator *ha'*:

(8.26) *ha' ix malin x- mak -ni naj pel*
 foc cl Mary asp hit subj-foc cl Peter
 It was Mary who hit Peter

The basic derivation is:

$$\frac{\frac{ha'}{(s/\times (s/\times np2))/ * np2} \quad \frac{ix \ malin}{np2}}{s/\times (s/\times np2)} > \quad \frac{\frac{x- \ mak \ -ni}{(s/\times np2)/\diamond np1} \quad \frac{naj \ pel}{np1}}{s/\times np2} >$$

The indices indicate the reversal of the verbal arguments, specific to the analysis of subject extraction above. The complex verbal form *x-mac-ni* together with the derivation reversing its arguments is given here:

$$\begin{array}{c}
 \frac{x-}{(s/\times np2)/\diamond(s/\overset{!}{\times} np2)} \quad \frac{\frac{mak}{(s/\overset{!}{\times} np1)/\overset{!}{\diamond} np2} \quad \frac{-ni}{((s/\overset{!}{\times} np2)/\diamond np1) \setminus * ((s/\overset{!}{\times} np1)/\overset{!}{\diamond} np2)}}{(s/\overset{!}{\times} np2)/\overset{!}{\diamond} np1} < \\
 \hline
 (s/\times np2)/\diamond np1 > B
 \end{array}$$

8.4.1 Results

The morphemic analysis presented here allows for a simplification of how verbal forms are specified in general. Few lexical entries are required for verb forms, and fewer lexical families are required.

The morphemic grammar parses, produces semantics and is able to realize all the interpretations of the sentences the lexemic grammar was. One construction, involving gapping (‘John ate a mango and Mary an orange’ in Popti’), eludes both grammars. And in fact, whereas the lexemic grammar was able to parse the focus constructions involving *ha*’ (§8.2.2), but not realize the original form from the semantics, the smaller and simpler morphemic grammar improved on the analysis of *ha*’ and produce the right realizations.

8.5 Conclusions

The morphemic grammar for Popti’ has several nice properties with respect to the lexemic alternative, especially with respect to the depth, generality and compositional consistency of its semantic analyses. However, what it gains in generality and elegance, it loses in efficiency in parsing, as it has more work to do parsing the same material. Perhaps an integrated strategy, the makes reference to a morphemic system at “compile-time” to capture the desired generalities where relevant, but does actual processing and realization with a purely lexemic system, could take advantage of both techniques.

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